

11/09/01

01-09-02

A

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

Woods, Michelle

Title

New and Improved Sanitary Toothbrush

Express Mail Label No.

ET 471487255US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **24**]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **8**]
5. Oath or Declaration [Total Pages **2**]
- a. ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- b. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information:

Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or

☐ Correspondence address below

Name

Richard A. Catalina, Jr., Esq. (45, 372)

Address

Catalina + Associates, Monmouth Shores Corporate Park
1350 Campus Parkway, Suite 200

City

Neptune

State

New Jersey

Zip Code

07753

Country

United States

Telephone

732-919-6060

Fax

732-919-3220

Name (Print/Type)

Richard A. Catalina, Jr.

Registration No. (Attorney/Agent)

45, 372

Signature

[Signature]

Date

11-09-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Jc872 U.S. PTO

10/04/07

11/09/01

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	11-09-01
First Named Inventor	Woods, Michelle Deanna
Examiner Name	
Group Art Unit	
Attorney Docket No.	

TOTAL AMOUNT OF PAYMENT (\$ 562.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 501472

Deposit Account Name Richard A. Catalina, Jr., Esq.

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
101 710	201 355		Utility filing fee	355
106 320	206 160		Design filing fee	
107 490	207 245		Plant filing fee	
108 710	208 355		Reissue filing fee	
114 150	214 75		Provisional filing fee	

SUBTOTAL (1) (\$ 355

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
28	-20** = 8	9	72
1	-3** = 2	40	0
Multiple Dependent		135	135

	Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	
103 18	203 9		Claims in excess of 20
102 80	202 40		Independent claims in excess of 3
104 270	204 135		Multiple dependent claim, if not paid
109 80	209 40		** Reissue independent claims over original patent
110 18	210 9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 207

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

	Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
105 130	205 65		Surcharge - late filing fee or oath	
127 50	227 25		Surcharge - late provisional filing fee or cover sheet	
139 130	139 130		Non-English specification	
147 2,520	147 2,520		For filing a request for ex parte reexamination	
112 920*	112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action	
115 110	215 55		Extension for reply within first month	
116 390	216 195		Extension for reply within second month	
117 890	217 445		Extension for reply within third month	
118 1,390	218 695		Extension for reply within fourth month	
128 1,890	228 945		Extension for reply within fifth month	
119 310	219 155		Notice of Appeal	
120 310	220 155		Filing a brief in support of an appeal	
121 270	221 135		Request for oral hearing	
138 1,510	138 1,510		Petition to institute a public use proceeding	
140 110	240 55		Petition to revive - unavoidable	
141 1,240	241 620		Petition to revive - unintentional	
142 1,240	242 620		Utility issue fee (or reissue)	
143 440	243 220		Design issue fee	
144 600	244 300		Plant issue fee	
122 130	122 130		Petitions to the Commissioner	
123 50	123 50		Processing fee under 37 CFR 1.17(q)	
126 180	126 180		Submission of Information Disclosure Stmt	
581 40	581 40		Recording each patent assignment per property (times number of properties)	
146 710	246 355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355		For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355		Request for Continued Examination (RCE)	
169 900	169 900		Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0

SUBMITTED BY

Name (Print/Type)	Richard A. Catalina, Jr., Esq.	Registration No. (Attorney/Agent)	45,372	Telephone	732-919-6060
Signature	<i>Richard A. Catalina, Jr.</i>	Date	11-09-01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Catalina & Associates
A New Jersey Limited Liability Company
Counselors At Law

Monmouth Shores Corporate Park
1350 Campus Parkway, Suite 200
Neptune, New Jersey 07753
Phone (732) 919-6060
Fax (732) 919-3220

rcatalina@newtechlegal.com

Practice Limited to Intellectual Property Law

Patent, Trademark, Trade Secret, Copyright, Unfair Competition and Advertising

Richard A. Catalina, Jr., Esq.

Registered United States Patent Attorney (45,372)
Admitted to the Bars of the State of New Jersey
Commonwealth of Pennsylvania
District of Columbia

William J. Connelly, Esq.

Registered United States Patent Attorney (44,086)
Admitted to the Bars of the States
of New Jersey and New York

Friday, November 09, 2001

VIA UNITED STATES EXPRESS MAIL ET471487255US

Assistant Commissioner of Patents
BOX – Patent Application
Washington, DC 20231

ET 471487255 US

**RE: Application – New and Improved Sanitary Toothbrush
by Deanna Michelle Woods and Daniel Ferrante**

Dear Sir or Madam:

Enclosed for filing with the United States Patent and Trademark Office are the following materials relative to the above application for a United States patent:

1. Utility Patent Application Transmittal;
2. Power of Attorney;
3. Fee Transmittal Form;
4. Declaration for Utility Patent Application
5. Information Disclosure Statement by Applicant;
6. Specification (**24 pages total**), comprising:
 - i) Background of the Invention;
 - ii) Summary of the Invention;

- iii) Brief Description of the Drawings;
 - iv) Detailed Description of the Preferred Embodiments;
 - v) Claims (commencing on separate page), **15 total claims**; and
 - vi) Abstract (commencing on separate page).
- 7. Copies of patents cited in Information Disclosure Statement;
 - 8. Two (2) sets of **fifteen (15) Drawings** (eight pages per set); and
 - 9. Stamped receipt postcard for return.

Please charge all fees incurred in connection with the above application to this firm's Deposit Account 501472.

Kindly mark the enclosed stamped postcard that the USPTO as having received the above materials and return same to me via regular mail.

Thank you for your attention to this matter. Should you have any questions, please do not hesitate to contact me.

Very truly yours,


RICHARD A. CATALINA, JR.

RAC/md
Enc.

cc: Deanna Michelle Woods
Daniel Ferrante